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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/756,070	01/06/2001	Paul D. Taylor	P-514

CONFIRMATION NO. 6470

FORMALITIES LETTER



OC000000005863809

JOHN F. BRADY
TRANSGENOMIC, INC.
2032 CONCOURSE DRIVE
SAN JOSE, CA 95131

Date Mailed: 03/15/2001

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES**

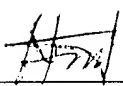
Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



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PART 2 - COPY TO BE RETURNED WITH RESPONSE

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)

0350 \$
Docket No. ✓
P-514

In Re Application Of: PAUL D. TAYLOR

Serial No.
09/756,070

Filing Date
01/06/2001

Examiner
N/A

Group Art Unit
N/A

Invention: DETECTION OF NUCLEIC ACID HETERODUPLEX MOLECULES BY ANION
EXCHANGE CHROMATOGRAPHY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
03/15/2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.

☒ _____ verified small entity declaration(s)

☒ is/are attached.

☐ was/were filed on _____

☐ A separate request for refund.

☒ Other (list):

SEQUENCE LISTING (PAPER READABLE)
SEQUENCE LISTING (COMPUTER READABLE)

Applicant claims small entity status.

John F. Brady
John F. Brady, Reg. No. 39,118

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
P-514

In Re Application Of: **PAUL D. TAYLOR**

Serial No.
09/756,070

Filing Date
01/06/2001

Examiner
N/A

Group Art Unit
N/A

Invention: DETECTION OF NUCLEIC ACID HETERODUPLEX MOLECULES BY ANION EXCHANGE CHROMATOGRAPHY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

- ☒ Completion of application fees as calculated below:

- | | |
|--|-------|
| <input type="checkbox"/> Utility application filing fee | _____ |
| <input type="checkbox"/> Design application filing fee | _____ |
| <input type="checkbox"/> Total number of independent claims = _____ | _____ |
| <input type="checkbox"/> Total number of claims = _____ | _____ |
| <input type="checkbox"/> Multiple dependent claims | _____ |
| <input type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | _____ |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | _____ |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | _____ |
| <input type="checkbox"/> Fee for processing and retention of application | _____ |

Total completion of application fees

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month ☐ Two months ☒ Three months ☐ Four months ☐ Five months

from: 03/15/2001 until: 07/15/2001
Date Date

Total time extension fees \$445.00

Total fees due \$445.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
P-514

In Re Application Of: **PAUL D. TAYLOR**

JUL 02 2001

Serial No.
01/06/2001

Filing Date
01/06/2001

Examiner
N/A

Group Art Unit
N/A

Invention: **DETECTION OF NUCLEIC ACID HETERODUPLEX MOLECULES BY ANION
EXCHANGE CHROMATOGRAPHY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$445.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0821**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0821**
A duplicate copy of this sheet is enclosed.

John F. Brady
Signature

Dated: *6/27/01*

**JOHN F. BRADY
REGISTRATION NUMBER: 39,118
TRANSGENOMIC, INC.
2032 CONCOURSE DRIVE
SAN JOSE, CA 95131**

I certify that this document and fee is being deposited on *6-28-01* with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jennifer R. Cobb
Signature of Person Mailing Correspondence

JENNIFER R. COBBp

Typed or Printed Name of Person Mailing Correspondence

CC:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): PAUL D. TAYLOR

Docket No.

P-514

Serial No.

09/756,070

Filing Date

01/06/2001

Examiner

N/A

Group Art Unit

N/A

Invention: **DETECTION OF NUCLEIC ACID HETERODUPLEX MOLECULES BY ANION
EXCHANGE CHROMATOGRAPHY**

JUL 02 2001

I hereby certify that this AMENDMENT LETTER

(Identify type of correspondence)

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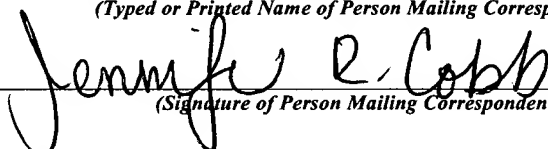
Assistant Commissioner for Patents, Washington, D.C. 20231 on

6-28-01

(Date)

JENNIFER R. COBB

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